


15-819M: Data, Code, Decisions

07: Reasoning about While Programs with Dynamic Logic

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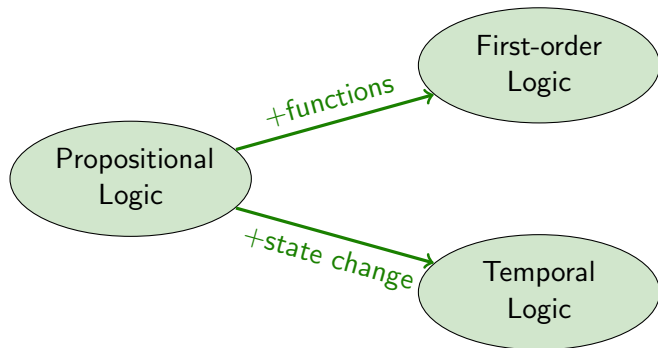


```
public class JavaProgram {
    public Integer next() {
        for (int i = p.length - 1; i >= 0;
            i = nextInteger(0);
            ++p[i] > n)
            else
                return p;
    }
    throw new NoSuchElementException();
}
```

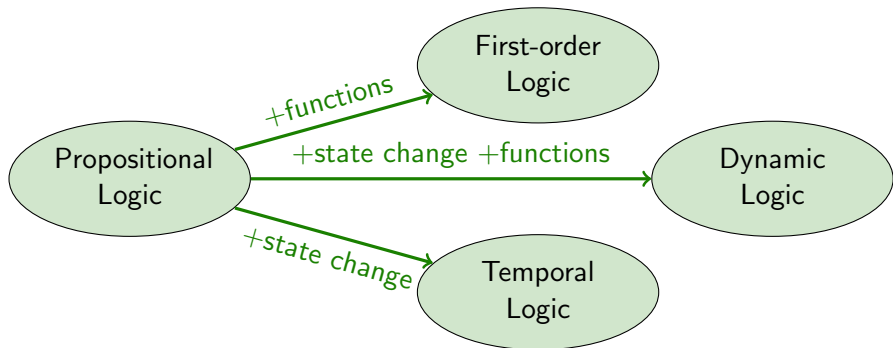
- 1 DL Syntax
 - State Dependence
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 - Atomic Programs
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 - Kripke Structures
 - Program Formula Valuation
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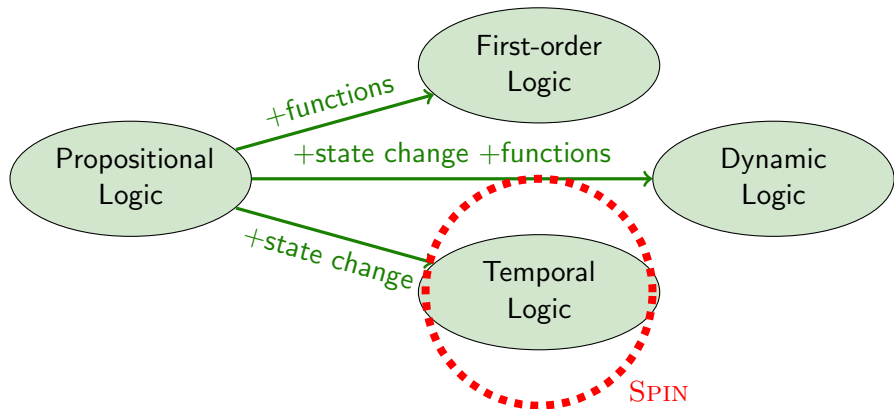
Beyond Propositional Logic



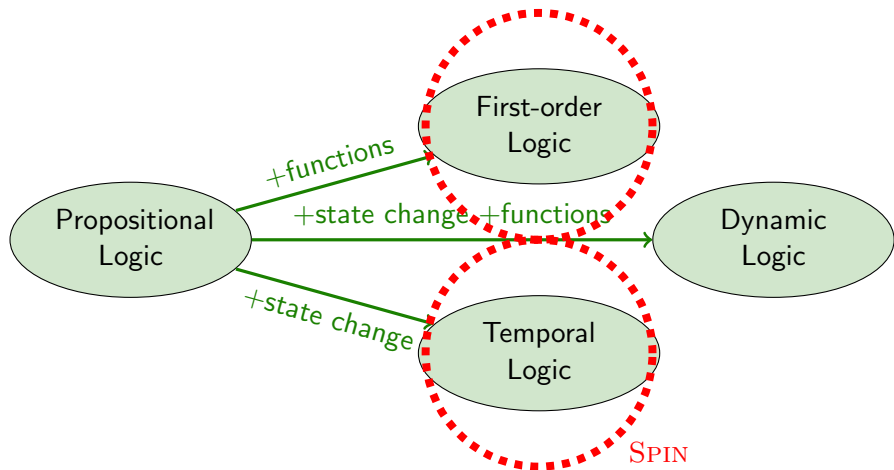
Beyond Propositional Logic



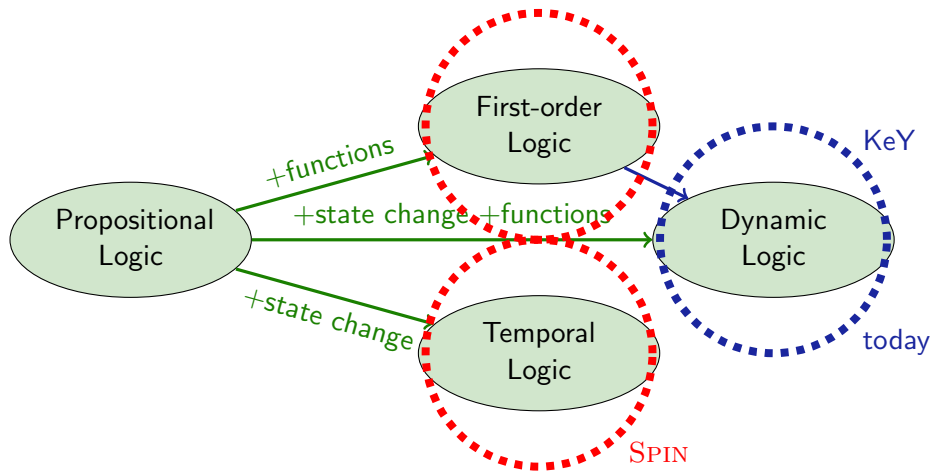
Beyond Propositional Logic



Beyond Propositional Logic



Beyond Propositional Logic



State Dependence of Formula Evaluation

Closed FOL formula either evaluates to true or false in a **model** \mathcal{M}

Consider model $\mathcal{M} = (\mathcal{D}, \delta, \mathcal{I})$ as **program state**

Let x be (local) program variable or attribute

Execution of program p may change program state, i.e., value of x

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Example

Executing $x=3$; results in \mathcal{M} such that $\mathcal{M} \models x \doteq 3$

Executing $x=4$; results in \mathcal{M} such that $\mathcal{M} \not\models x \doteq 3$

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Need a logic to capture state before/after program execution

Rigid versus Flexible Symbols

Signature of program logic defined as in FOL, **but**:

In addition there are program variables, attributes, etc.

Rigid versus Flexible Symbols

- **Rigid** symbols have same interpretation in **all** program states
 - First-order variables (**logical variables** for quantification)
Used to hold initial values of program variables
 - Built-in functions and predicates such as $0, 1, \dots, +, *, \dots, <, \dots$
- **Flexible** (or **non-rigid**) symbols where interpretation depends on state
Capture side effects on state during program execution
 - Functions modeling **program variables** and **attributes** are flexible

Any term containing at least one flexible symbol is also flexible

Signature of Dynamic Logic (Simple Version)

Definition (Dynamic Logic Signature)

First-order signature $\Sigma = (\text{PSym}_r, \text{FSym}_r, \text{FSym}_{nr}, \alpha)$

Rigid predicate symbols $\text{PSym} = \{>, >=, \dots\}$

Rigid function symbols $\text{FSym} = \{+, -, *, 0, 1, \dots, \text{true}, \text{false}\}$

Flexible function symbols $\text{FSym} = \{i, j, k, \dots, p, q, r, \dots\}$

Type hierarchy

$\mathbb{T} = \{\perp, \text{int}, \text{boolean}, \top\}$ with **int**, **boolean** incomparable

Standard typing: **boolean true**; $\langle (\text{int}, \text{int}) \rangle$; etc.

Definition (First-Order/Logical Variables)

Typed **logical variables** (**rigid**), declared as `T x;`

Definition

Program Variables **Flexible** constants `int i;` `boolean p` used as **program variables**

- First-order terms defined as in FOL
- First-order terms may contain rigid **and** flexible symbols
- $\text{FSym}_r \cap \text{FSym}_{nr} = \emptyset$

Example

Signature for FSym_{nr} : **int** j ; **boolean** p

Variables **int** x ; **boolean** b ;

- j and $j + x$ are flexible terms of type **int**
- p is a flexible term of type **boolean**
- $x + x$ is a rigid term of type **int**
- $j + b$ and $j + p$ are not well-typed

Definition (Atomic Programs)

The **atomic programs** Π_0 are **assignments** of the form $j = t$ where:

- T j ; is a program variable (flexible constant)
- t is a first-order term of type T without logical variables

Example

Signature for FSym_{nr} : `int j; boolean p`

Variables `int x; boolean b;`

- `j=j+1`, `j=0` and `p=false` are assignments
- `j=j+x` contains a logical variable on the right
- `x=1` contains a logical variable on the left
- `j=j` is equality, not assignment
- `p=0` is ill-typed

Definition (Program)

Inductive definition of the set of (DL) **programs** Π :

- If π is an atomic program, then π ; is a program
- If p and q are programs, then pq is a program
- If b is a variable-free term of type `boolean`, p and q programs, then
`if (b) p else q;` `if (b) p;`
are programs
- If b is a variable-free term of type `boolean`, p a program, then
`while (b) p;`
is a program

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Programs contain no logical variables!

Example (Admissible Program)

Signature for FSym_{nr} : `int r; int i; int n;`

Signature for FSym_r : `int 0; int +(int,int); int -(int,int);`

Signature for PSym_r : `<(int,int);`

```
i=0;
```

```
r=0;
```

```
while (i<n) {
```

```
    i=i+1;
```

```
    r=r+i;
```

```
};
```

```
r=r+r-n;
```

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```
i=0;
r=0;
while (i<n) {
  i=i+1;
  r=r+i;
};
r=r+r-n;
```

Which value does the program compute in r ?

Definition (Dynamic Logic Formulas (DL Formulas))

- Each FOL formula is a DL formula
- If p is a program and ϕ a DL formula then $\langle p \rangle \phi$ is a DL formula
- If p is a program and ϕ a DL formula then $[p] \phi$ is a DL formula
- DL formulas closed under FOL quantifiers and connectives

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-
- Program variables are flexible **constants**: never bound in quantifiers
 - Program variables need not be declared or initialized in program
 - Programs contain no logical variables
 - Modalities can be arbitrarily nested

Example (Well-formed? If yes, under which signature?)

- $\forall \text{int } y; ((\langle x = 1; \rangle x \doteq y) \leftrightarrow (\langle x = 1*1; \rangle x \doteq y))$

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- $\exists \text{int } x; [x = 1;](x \doteq 1)$

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Well-formed if FSym_{nr} contains $\text{int } x$;
program formulas can be nested

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First-order model can be considered as **program state**

- Interpretation of **flexible** symbols can change from state to state (program variables, attribute values)
- Interpretation of **rigid** symbols is the same in all states (built-in functions and predicates)

States as first-order models

From now, consider program state as **first-order model** $\mathcal{M} = (\mathcal{D}, \delta, \mathcal{I})$

- Only interpretation \mathcal{I} of flexible symbols in FSym_{nr} can change
 \Rightarrow only track values of $f \in \text{FSym}_{nr}$: use s (for **state**) instead of \mathcal{M}
- Set of all states s is S

Definition (Kripke Structure / Labelled Transition System)

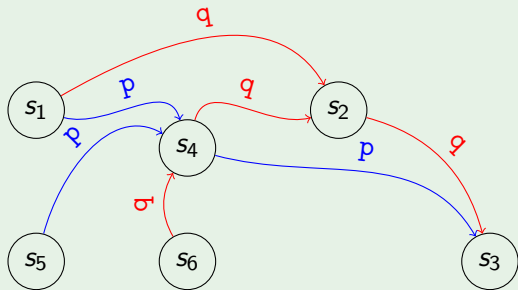
Kripke structure or **Labelled transition system** $K = (S, \rho)$

- **State** (=first-order model) $s = (\mathcal{D}, \delta, \mathcal{I}) \in S$
- **Transition relation** $\rho : \Pi \rightarrow (S \rightarrow S)$
 - ρ is the **operational semantics** of programs Π
 - Each program $p \in \Pi$ transforms a start state s into end state $\rho(p)(s)$
 - $\rho(p)(s)$ can be undefined: p does **not terminate** when started in s
 - Our programs are **deterministic** (unlike PROMELA):
 $\rho(p)$ is a (partial) function (at most one value)

Example (Kripke Structure)

Two programs p and q

Show $\rho(p)$ and $\rho(q)$, states $S = \{s_1, \dots, s_6\}$



When p is started in s_5 it terminates in s_4 , etc.

In general, Π and S are infinite!

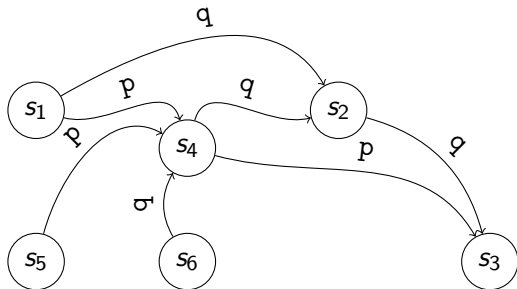
Semantic Evaluation of Program Formulas

Definition (Validity Relation for Program Formulas)

- $s, \beta \models \langle p \rangle \phi$ iff $\rho(p)(s), \beta \models \phi$ and $\rho(p)(s)$ is defined

p terminates and ϕ is true in the final state after execution
- $s, \beta \models [p] \phi$ iff $\rho(p)(s), \beta \models \phi$ whenever $\rho(p)(s)$ is defined

If p terminates then ϕ is true in the final state after execution

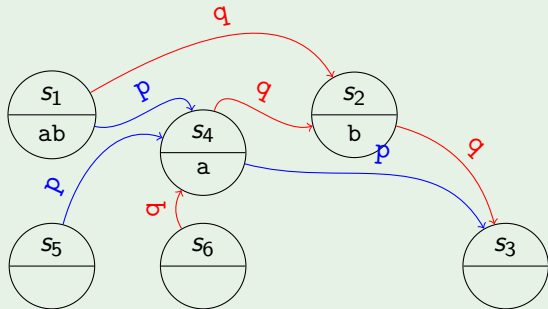


Dynamic Logic Semantics: Kripke Structure

Example (Semantic Evaluation of Program Formulas)

Signature FSym_{nr} : boolean a ; boolean b ;

Notation: $\mathcal{I}(x) = T$ iff x appears in node



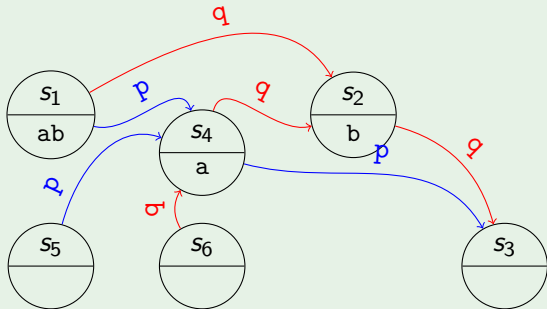
Question 1: $s_1 \models \langle p \rangle (a \doteq \text{true})$?

Dynamic Logic Semantics: Kripke Structure

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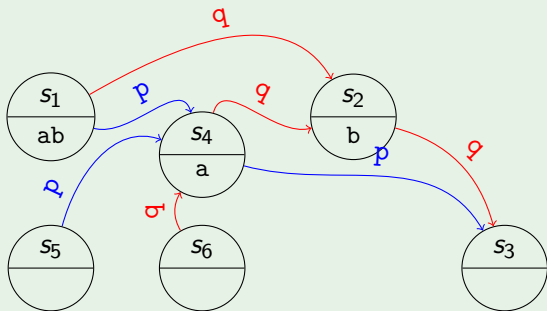
Question 2: $s_1 \models \langle q \rangle (a \doteq \text{true})$?

Dynamic Logic Semantics: Kripke Structure

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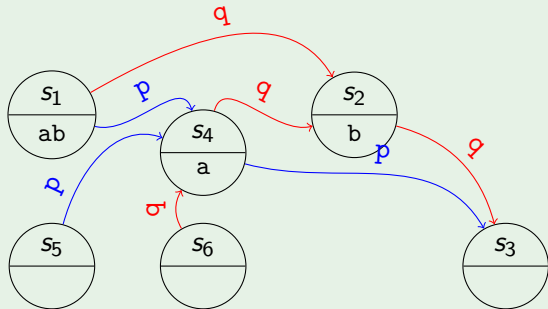
Question 3: $s_5 \models \langle q \rangle (a \doteq \text{true})$?

Dynamic Logic Semantics: Kripke Structure

Example (Semantic Evaluation of Program Formulas)

Signature FSym_{nr} : boolean a ; boolean b ;

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Question 4: $s_5 \models [q](a \doteq \text{true})$?

Definition (Notions of Program Correctness)

- If $s, \beta \models \langle p \rangle \phi$ then
p **totally correct** (with respect to ϕ) in s, β
- If $s, \beta \models [p] \phi$ then
p **partially correct** (with respect to ϕ) in s, β

- **Duality** $\langle p \rangle \phi$ iff $![p]! \phi$
Exercise: justify this using the semantics
- **Implication** if $\langle p \rangle \phi$ then $[p] \phi$
Total correctness implies partial correctness
 - converse is false
 - holds only for deterministic programs!

Semantics of Sequents

$\Gamma = \{\phi_1, \dots, \phi_n\}$ and $\Delta = \{\psi_1, \dots, \psi_m\}$ sets of program formulas
where all logical variables occur bound

Recall: $s \models (\Gamma \Rightarrow \Delta)$ iff $s \models (\phi_1 \& \dots \& \phi_n) \rightarrow (\psi_1 \mid \dots \mid \psi_m)$

Define semantics of DL sequents like semantics of FOL sequents

Definition (Validity of Sequents over Program Formulas)

A sequent $\Gamma \Rightarrow \Delta$ over program formulas is **valid** iff

$s \models (\Gamma \Rightarrow \Delta)$ in **all states** s

Semantics of Sequents

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Consequence for program variables

Initial value of program variables implicitly “universally quantified”

JAVA initial states

KeY prover “starts” programs in initial states according to JAVA convention:

- Values of array entries initialized to default values: `int []` to 0, etc.
- Static object initialization
- No objects created

How to restrict validity to set of **initial states** $S_0 \subseteq S$?

- 1 Design closed FOL formula `Init` with
 $s \models \text{Init} \quad \text{iff} \quad s \in S_0$
- 2 Use sequent $\Gamma, \text{Init} \Rightarrow \Delta$

Later: simple method for specifying **initial value** of program variables

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Operational Semantics of Programs

In labelled transition system $K = (S, \rho)$:

$\rho : \Pi \rightarrow (S \rightarrow S)$ is **operational semantics** of programs $p \in \Pi$

How is ρ defined for concrete programs and states?

Operational Semantics of Programs

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$\rho : \Pi \rightarrow (S \rightarrow S)$ is **operational semantics** of programs $p \in \Pi$

How is ρ defined for concrete programs and states?

Example (Operational semantics of assignment)

State s interprets flexible symbols f with $\mathcal{I}_s(f)$

$\rho(x=t)(s) = s'$ where s' identical to s except $\mathcal{I}_{s'}(x) = val_s(t)$

Very tedious task to define ρ for JAVA ...

⇒ here we go directly to calculus for program formulas!

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Symbolic Execution of Programs

Sequent calculus decomposes top-level operator in formula
What is “top-level” in a sequential program $p; q; r$?

Symbolic Execution (King, late 60s)

- Follow the **natural control flow** when analyzing a program
- Values of some variables unknown: **symbolic state representation**

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Example

Compute the final state after termination of

```
int x; int y; x=x+y; y=x-y; x=x-y;
```

General form of rule conclusions in symbolic execution calculus

$$\langle \text{stmt}; \text{rest} \rangle \phi, \quad [\text{stmt}; \text{rest}] \phi$$

- Rules must **symbolically execute** first statement
- Repeated application of rules in a proof corresponds to **symbolic program execution**

Symbolic execution of assignment

$$\text{assign} \frac{\{x/x_{old}\}\Gamma, x \doteq \{x/x_{old}\}t \implies \langle \text{rest} \rangle \phi, \{x/x_{old}\}\Delta}{\Gamma \implies \langle x = t; \text{rest} \rangle \phi, \Delta}$$

x_{old} new program variable that “rescues” old value of x

Symbolic execution of assignment

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x_{old} new program variable that “rescues” old value of x

Example

Conclusion matching: $\{x/x\}, \{t/x+y\}, \{\text{rest}/y=x-y; x=x-y;\},$
 $\{\phi/(x \doteq y_0 \ \& \ y \doteq x_0)\}, \{\Gamma/x \doteq x_0, y \doteq y_0\}, \{\Delta/\emptyset\}$

$$\frac{x_{old} \doteq x_0, y \doteq y_0, x \doteq x_{old} + y \implies \langle y=x-y; x=x-y; \rangle (x \doteq y_0 \ \& \ y \doteq x_0)}{x \doteq x_0, y \doteq y_0 \implies \langle x=x+y; y=x-y; x=x-y; \rangle (x \doteq y_0 \ \& \ y \doteq x_0)}$$

Proving Partial Correctness

Partial correctness assertion

If program p is started in a state satisfying Pre and terminates, then its final state satisfies Post

In Hoare logic $\{ \text{Pre} \} p \{ \text{Post} \}$

(Pre, Post must be FOL)

In DL $\text{Pre} \rightarrow [p]\text{Post}$

(Pre, Post any DL formula)

Proving Partial Correctness

Partial correctness assertion

If program p is started in a state satisfying Pre and terminates, then its final state satisfies $Post$

In Hoare logic $\{Pre\} p \{Post\}$ (Pre, Post must be FOL)

In DL $Pre \rightarrow [p]Post$ (Pre, Post any DL formula)

Example (In KeY Syntax, automatic proof)

```
\programVariables {  
  int x; int y; }  
\problem {  
  (\forall int x0; \forall int y0; ((x=x0 & y=y0) ->  
    \<\{x=x+y; y=x-y; x=x-y;\}\>(x=y0 & y=x0)))  
}
```

lect11/swap.key

(Demo)

Example

$$\forall T y; ((\langle p \rangle x \dot{=} y) \leftrightarrow (\langle q \rangle x \dot{=} y))$$

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$\exists T y; (x \dot{=} y \rightarrow \langle p \rangle \text{true})$

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Example

$\exists T y; (x \doteq y \rightarrow \langle p \rangle \text{true})$

Not valid in general

Program p terminates in all states where x has suitable initial value

Symbolic execution of conditional

$$\text{if } \frac{\Gamma, b \doteq \text{true} \Rightarrow \langle p; \text{rest} \rangle \phi, \Delta \quad \Gamma, b \doteq \text{false} \Rightarrow \langle q; \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \text{if } (b) \{ p \} \text{ else } \{ q \} ; \text{rest} \rangle \phi, \Delta}$$

Symbolic execution must consider all possible execution branches

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Symbolic execution must consider all possible execution branches

Symbolic execution of loops: unwind

$$\text{unwindLoop} \frac{\Gamma \Rightarrow \langle \text{if } (b) \{ p; \text{while } (b) p \}; r \rangle \phi, \Delta}{\Gamma \Rightarrow \langle \text{while } (b) \{ p \}; r \rangle \phi, \Delta}$$

Quantifying over Program Variables

How to express correctness for any initial value of program variable?

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quantification over **all** states)

Quantifying over Program Variables

How to express correctness for any initial value of program variable?

Not allowed: $\forall T \mathbf{i}; \langle \dots \mathbf{i} \dots \rangle \phi$ (program \neq logical variable)

Not intended: $\Rightarrow \langle \dots \mathbf{i} \dots \rangle \phi$ (Validity of sequents:
quantification over **all** states)

As previous: $\forall T i_0; (i_0 \doteq \mathbf{i} \rightarrow \langle \dots \mathbf{i} \dots \rangle \phi)$

Quantifying over Program Variables

How to express correctness for any initial value of program variable?

Not allowed: $\forall T \mathbf{i}; \langle \dots \mathbf{i} \dots \rangle \phi$ (program \neq logical variable)

Not intended: $\Rightarrow \langle \dots \mathbf{i} \dots \rangle \phi$ (Validity of sequents:
quantification over **all** states)

As previous: $\forall T i_0; (i_0 \doteq \mathbf{i} \rightarrow \langle \dots \mathbf{i} \dots \rangle \phi)$

Solution

Use explicit construct to record values in **current** state

Update $\forall T i_0; (\{\mathbf{i} := i_0\} \langle \dots \mathbf{i} \dots \rangle \phi)$

Explicit State Updates

Updates specify computation state where formula is evaluated

Definition (Syntax of Updates)

If v is program variable, t FOL term type-compatible with v , t' any FOL term, and ϕ any DL formula, then

- $\{v := t\}t'$ is DL term
- $\{v := t\}\phi$ is DL formula

Definition (Semantics of Updates)

State s interprets flexible symbols f with $\mathcal{I}_s(f)$
 β variable assignment for logical variables in t

$\rho(\{v := t\})(s) = s'$ where s' identical to s except $\mathcal{I}_{s'}(x) = val_{s,\beta}(t)$

Facts about updates $\{v := t\}$

- Update semantics identical to assignment
- Value of update depends on logical variables in t : use β
- Updates as “lazy” assignments (no term substitution done)
- Updates are **not assignments**: right-hand side is FOL term
 - $\{x := n\}\phi$ cannot be turned into assignment (n logical variable)
 - $\langle x=i++; \rangle\phi$ cannot directly be turned into update
- Updates are **not equations**: change value of flexible terms

Computing Effect of Updates (Automatic)

Rewrite rules for update followed by ...

program variable $\begin{cases} \{x := t\}y \rightsquigarrow y & \text{where } x \neq y \\ \{x := t\}x \rightsquigarrow t \end{cases}$

logical variable $\{x := t\}w \rightsquigarrow w$

complex term $\{x := t\}f(t_1, \dots, t_n) \rightsquigarrow f(\{x := t\}t_1, \dots, \{x := t\}t_n)$

FOL formula $\begin{cases} \{x := t\}(\phi \ \& \ \psi) \rightsquigarrow \{x := t\}\phi \ \& \ \{x := t\}\psi \\ \dots \\ \{x := t\}(\forall T y; \phi) \rightsquigarrow \forall T y; (\{x := t\}\phi) \end{cases}$

program formula $\{x := t\}(\langle p \rangle \phi) \rightsquigarrow \{x := t\}(\langle p \rangle \phi)$ **unchanged!**

Update computation delayed until p symbolically executed

Symbolic execution of assignment using updates

$$\text{assign} \frac{\Gamma \Rightarrow \{x := t\} \langle \text{rest} \rangle \phi, \Delta}{\Gamma \Rightarrow \langle x = t; \text{rest} \rangle \phi, \Delta}$$

- Avoids renaming of program variables
- Works as long as t has no side effects (ok in simple DL)

Demo

Examples/lect11/swap.key

Example

```
\programVariables {  
  int x;  
}  
\problem {  
  (\exists int y;  
   ({x := y}\<{while (x > 0) {x = x-1;}}\> x=0 ))  
}
```

Intuitive Meaning? Satisfiable? Valid?

Demo

Examples/lect11/term.key

Example Proof

Example

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```

Intuitive Meaning? Satisfiable? Valid?

Demo

Examples/lect11/term.key

What to do when we **cannot** determine a concrete loop bound?

How to apply updates on updates?

Example

Symbolic execution of

```
int x; int y; x=x+y; y=x-y; x=x-y;
```

yields:

$$\{x := x+y\}\{y := x-y\}\{x := x-y\}$$

Need to compose three sequential state changes into a single one!

Definition (Parallel Update)

A **parallel update** is expression of the form $\{l_1 := v_1 \parallel \dots \parallel l_n := v_n\}$ where each $\{l_i := v_i\}$ is simple update

- All v_i computed in old state before update is applied
- Updates of all locations l_i executed simultaneously
- Upon **conflict** $l_i = l_j, v_i \neq v_j$ later update ($\max\{i, j\}$) wins

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Definition (Composition Sequential Updates/Conflict Resolution)

$$\{l_1 := r_1\}\{l_2 := r_2\} = \{l_1 := r_1 \parallel l_2 := \{l_1 := r_1\}r_2\}$$

$$\{l_1 := v_1 \parallel \dots \parallel l_n := v_n\}x = \begin{cases} x & \text{if } x \notin \{l_1, \dots, l_n\} \\ v_k & \text{if } x = l_k, x \notin \{l_{k+1}, \dots, l_n\} \end{cases}$$

Example

$$\begin{aligned} & (\{x := x+y\}\{y := x-y\})\{x := x-y\} = \\ & \{x := x+y \parallel y := (x+y)-y\}\{x := x-y\} = \\ & \{x := x+y \parallel y := (x+y)-y \parallel x := (x+y)-((x+y)-y)\} = \\ & \{x := x+y \parallel y := x \parallel x := y\} = \\ & \{y := x \parallel x := y\} \end{aligned}$$

KeY automatically deletes overwritten (unnecessary) updates

Demo

Examples/lect11/swap.key

Example

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Demo

[Examples/lect11/swap.key](#)

Parallel updates to store intermediate state of symbolic computation

A Warning

First-order rules that substitute arbitrary terms

$$\exists\text{-right} \frac{\Gamma \Rightarrow [x/t'] \phi, \exists T x; \phi, \Delta}{\Gamma \Rightarrow \exists T x; \phi, \Delta} \quad \forall\text{-left} \frac{\Gamma, \forall T x; \phi, [x/t'] \phi \Rightarrow \Delta}{\Gamma, \forall T x; \phi \Rightarrow \Delta}$$

$$\text{applyEq} \frac{\Gamma, t \doteq t', [t/t'] \psi \Rightarrow [t/t'] \phi, \Delta}{\Gamma, t \doteq t', \psi \Rightarrow \phi, \Delta}$$

t, t' must be **rigid**, because all occurrences must have the same value

Example

$$\frac{\Gamma, i \doteq 0 \rightarrow \langle i++ \rangle i \doteq 0 \Rightarrow \Delta}{\Gamma, \forall T x; (x \doteq 0 \rightarrow \langle i++ \rangle x \doteq 0) \Rightarrow \Delta}$$

Logically valid formula would result in unsatisfiable antecedent!

KeY prohibits unsound substitutions

- 1 DL Syntax
 - State Dependence
 - Signature
 - Terms
 - Atomic Programs
 - DL Programs
 - Program Formulas
- 2 DL Semantics
 - States
 - Kripke Structures
 - Program Formula Valuation
 - Program Correctness
- 3 Operational Semantics
- 4 Symbolic Execution
 - Updates
 - Parallel Updates
 - Restrictions

Essential

KeY Book Verification of Object-Oriented Software, Chapter 10: **Using KeY**

KeY Book Verification of Object-Oriented Software, Chapter 3: **Dynamic Logic** (Sections 3.1, 3.2, 3.4, 3.5, 3.6.1, 3.6.3, 3.6.4)