

15-819M: Data, Code, Decisions

10: Proof Obligations

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```
public class JavaProgram {
    public Integer next() {
        for (int i = p.length - 1; i >= 0;
            i = ++p[i] > n)
            k[i] = nextInteger(0);
        else
            return p;
    }
    throw new NoSuchElementException();
}
```

- 1 Proof Obligations
- 2 Tutorial Example: PayCard
- 3 Generating Proof Obligations
- 4 Translating JML to DL
- 5 Schematic POs
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making the connection between

JML

and

Dynamic Logic / KeY

making the connection between

JML

and

Dynamic Logic / KeY

- generating,

making the connection between

JML

and

Dynamic Logic / KeY

- generating,
- understanding,

making the connection between

JML

and

Dynamic Logic / KeY

- generating,
- understanding,
- and proving

DL proof obligations from JML specifications

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We follow 'KeY Quicktour for JML' (cited below as [KQJ])

Sources: KeY Quicktour on course page

Scenario: simple PayCard

```
inspect quicktour/jml/paycard/PayCard.java
```

[KQJ, 2.2]

JML Feature: Nested Specification Cases

method `charge()` has **nested specification case**:

```
@ public normal_behavior
@ requires amount>0;
@ {
@   requires amount+balance<limit && isValid()==true;
@   ensures \result == true;
@   ensures balance == amount + \old(balance);
@   assignable balance;
@
@   also
@
@   requires amount + balance >= limit;
@   ensures \result == false;
@   ensures unsuccessfulOperations
@         == \old(unsuccessfulOperations) + 1;
@   assignable unsuccessfulOperations;
@ }
```

Nested Specification Cases

nested specification cases allow to factor out common preconditions

```
@ public normal_behavior
```

```
@ requires R;
```

```
@ { |
```

```
@   requires R1;
```

```
@   ensures E1;
```

```
@   assignable A1;
```

```
@
```

```
@   also
```

```
@
```

```
@   requires R2;
```

```
@   ensures E2;
```

```
@   assignable A2;
```

```
@ | }
```

expands to ... (next page)

Nested Specification Cases

(previous page) ... expands to

```
@ public normal_behavior
@ requires R;
@ requires R1;
@ ensures E1;
@ assignable A1;
@
@ also
@
@ public normal_behavior
@ requires R;
@ requires R2;
@ ensures E2;
@ assignable A2;
```

Nested Specification Cases

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expands to ... (next page)
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Nested Specification Cases

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@     == \old(unsuccessfulOperations) + 1;
@ assignable unsuccessfulOperations;
```

JML Feature: assignable \nothing

method `charge()` has **exceptional behavior case**:

```
@ public exceptional_behavior
@ requires amount <= 0;
@ assignable \nothing;
```


method `charge()` has **exceptional behavior case**:

```
@ public exceptional_behavior
@ requires amount <= 0;
@ assignable \nothing;
```

assignable \nothing prohibits side effects

Difference to **pure**:

- **pure** also prohibits non-termination
- **assignable** clause is local to specification case (here: local to **exceptional_behavior**)

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generate **EnsuresPost** PO for normal behavior of `charge()`

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[KQJ, 3.1+3.2]

- start KeY prover
- in `quicktour/jml`, open `paycard`
- select `PayCard.charge` and **EnsuresPost**
- inspect **Assumed Invariants**

Generating Proof Obligations (POs)

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 - is fully sound
 - can compromise provability

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(in JML: **modifies** synonymous for **assignable**)

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- select contract which **modifies** `balance`
(in JML: **modifies** synonymous for **assignable**)
- **Current Goal** pane displays **proof obligation** as DL sequent

Generating Proof Obligations

For loading more proof obligations:

re-open **Proof Obligation Browser** under **Tools** menu

generate **EnsuresPost** PO for normal behavior of `isValid()`

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generate **EnsuresPost** PO for normal behavior of `isValid()`

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Generating Proof Obligations

For loading more proof obligations:

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generate **EnsuresPost** PO for normal behavior of `isValid()`

generate **EnsuresPost** PO for exceptional behavior of `charge()`

generate **PreservesOwnInv** PO for `charge()`

expressing that `charge()` preserves all invariants (of its own class)

[KQJ, 4.3.1+4.3.2]

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principles of translating JML to proof obligations in DL

- issues in translating arithmetic expressions
- translating `this`
- identifying the method's implementation
- translating **boolean JML expressions** to **first-order logic formulas**
- translating **preconditions**
- translating **class invariants**
- translating **postconditions**
- storing **\old fields** prior to method invocation
- storing **actual parameters** prior to method invocation
- expressing that **'exceptions are (not) thrown'**
- *putting everything together*

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The following presentation is

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- omitting details/complications
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Often:

- KeY replaces arithmetic JAVA operators by generalized operators, generic towards various integer semantics (JAVA, Overflow-checks, Mathematics),
example: “+” becomes “`javaAddInt`”
- KeY inserts casts like `(jint)`,
needed for type hierarchy among primitive types,
example: “0” becomes “`(jint)(0)`”

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example: “0” becomes “`(jint)(0)`”

(no need to memorize this)

Translating this

- explicit, and
- implicit

`this` reference translated to explicit `self` reference

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- implicit

this reference translated to explicit **self** reference

e.g., given class

```
public class MyClass {  
    ...  
    private int f;  
    ...  
}
```

Translating this

- explicit, and
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this reference translated to explicit **self** reference

e.g., given class

```
public class MyClass {  
    ...  
    private int f;  
    ...  
}
```

- **f** translated to **self.f**
- **this.f** translated to **self.f**

Identifying Method Implementations

JAVA's dynamic dispatch selects a method's implementation *at runtime*

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For a method call `m(args)`,

KeY models selection of implementation from `package.Class` by
`m(args)@package.Class`

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Example

`charge(x)@paycard.PayCard`

executes class `paycard.PayCard`'s implementation of method call
`charge(x)`

Translating Boolean JML Expressions

First-order logic treated fundamentally different in JML and KeY logic

JML

- Formulas are no separate syntactic category
- Instead:
 JAVA's `boolean` expressions extended with first-order concepts (quantifiers)

KeY logic

- **Formulas** and **expressions** completely separate
- Truth constants **true**, **false** are formulas, `boolean` constants **TRUE**, **FALSE** are expressions
- Atomic formulas take expressions as arguments; e.g.:
 - $x - y < 5$
 - $b = \text{TRUE}$

\mathcal{F} Translates boolean JML Expressions to Formulas

$\mathcal{F}(v)$	=	$v = \text{TRUE}$
$\mathcal{F}(f)$	=	$\mathcal{T}(f) = \text{TRUE}$
$\mathcal{F}(m())$	=	$\mathcal{T}(m)() = \text{TRUE}$
$\mathcal{F}(!b_0)$	=	$!\mathcal{F}(b_0)$
$\mathcal{F}(b_0 \ \&\& \ b_1)$	=	$\mathcal{F}(b_0) \ \& \ \mathcal{F}(b_1)$
$\mathcal{F}(b_0 \ \ b_1)$	=	$\mathcal{F}(b_0) \ \ \mathcal{F}(b_1)$
$\mathcal{F}(b_0 \ ==> \ b_1)$	=	$\mathcal{F}(b_0) \ \rightarrow \ \mathcal{F}(b_1)$
$\mathcal{F}(b_0 \ <==> \ b_1)$	=	$\mathcal{F}(b_0) \ \leftrightarrow \ \mathcal{F}(b_1)$
$\mathcal{F}(e_0 \ == \ e_1)$	=	$\mathcal{E}(e_0) = \mathcal{E}(e_1)$
$\mathcal{F}(e_0 \ != \ e_1)$	=	$!\mathcal{E}(e_0) = \mathcal{E}(e_1)$
$\mathcal{F}(e_0 \ >= \ e_1)$	=	$\mathcal{E}(e_0) \ >= \ \mathcal{E}(e_1)$

$v/f/m()$ boolean variables/fields/pure methods

b_0, b_1 boolean JML expressions

e_0, e_1 JAVA expressions

\mathcal{T} may add 'self.' or '@ClassName' (see pp.21,22)

\mathcal{E} may add casts, transform operators (see p.20)

\mathcal{F} Translates boolean JML Expressions to Formulas

$$\mathcal{F}(\text{\texttt{(\forall T x; e_0)}}) = \text{\texttt{\forall T x;}} \\ \text{\texttt{!x=null -> \mathcal{F}(e_0)}}$$

$$\mathcal{F}(\text{\texttt{(\exists T x; e_0)}}) = \text{\texttt{\exists T x;}} \\ \text{\texttt{!x=null \& \mathcal{F}(e_0)}}$$

$$\mathcal{F}(\text{\texttt{(\forall T x; e_0; e_1)}}) = \text{\texttt{\forall T x;}} \\ \text{\texttt{!x=null \& \mathcal{F}(e_0)}} \\ \text{\texttt{-> \mathcal{F}(e_1)}}$$

$$\mathcal{F}(\text{\texttt{(\exists T x; e_0; e_1)}}) = \text{\texttt{\exists T x;}} \\ \text{\texttt{!x=null \& \mathcal{F}(e_0) \& \mathcal{F}(e_1)}}$$

Translating Preconditions

If selected contract *Contr* has **preconditions**

```
@ requires b_1;
```

```
@ ...
```

```
@ requires b_n;
```

they are translated to

Translating Preconditions

If selected contract *Contr* has **preconditions**

@ **requires** *b_1*;

@ ...

@ **requires** *b_n*;

they are translated to

$$\begin{aligned} & \mathcal{PRE}(\text{Contr}) \\ & \quad = \\ & \mathcal{F}(b_1) \ \& \ \dots \ \& \ \mathcal{F}(b_n) \end{aligned}$$

Translating Class Invariants

Invariant

```
class C {  
    ...  
    //@ invariant inv_i;  
    ...  
}
```

is translated to

Translating Class Invariants

Invariant

```
class C {  
    ...  
    //@ invariant inv_i;  
    ...  
}
```

is translated to

$$\mathcal{INV}(\text{inv_i})$$

=

$$\forall o: C \text{ ; } ((o.\text{<created>} = \text{TRUE} \ \& \ !o = \text{null}) \rightarrow \{\text{self:=o}\}\mathcal{F}(\text{inv_i}))$$

Translating Postconditions

If selected contract *Contr* has **postconditions**

```
@ ensures b_1;
```

```
@ ...
```

```
@ ensures b_n;
```

they are translated to

Translating Postconditions

If selected contract *Contr* has **postconditions**

@ **ensures** **b_1**;

@ ...

@ **ensures** **b_n**;

they are translated to

$$\begin{aligned} & \mathcal{POST}(Contr) \\ & = \\ & \mathcal{F}(b_1) \ \& \ \dots \ \& \ \mathcal{F}(b_n) \end{aligned}$$

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Special treatment of expressions in post-condition: next slide

Translating Expressions in Postconditions

Assume assignable clause

```
@ assignable <assignable_fields>;
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translating expressions in postconditions (interesting cases only):

$$\mathcal{E}(\backslash\text{result}) = \text{result}$$

$$\mathcal{E}(\backslash\text{old}(e)) = \mathcal{E}_{old}(e)$$

\mathcal{E}_{old} defined like \mathcal{E} , with the exception of:

$$\mathcal{E}_{old}(e.f) = f\text{AtPre}(\mathcal{E}_{old}(e))$$

$$\mathcal{E}_{old}(f) = f\text{AtPre}(\text{self})$$

for $f \in \langle\text{assignable_fields}\rangle$

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for $f \in \langle \text{assignable_fields} \rangle$

'fAtPre' meant to refer to field 'f' in the pre-state

Storing Pre-State of a Field

For an **assignable** field **f** of class **C**

```
class C {  
    ...  
    private T f;  
    ...  
}
```

translation of postcondition replaces **f** in $\backslash\mathbf{old}(\dots)$ by **fAtPre** (p.29)

TODO: store pre-state values of **f** in **fAtPre**

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$$\text{STORE}(f)$$
$$=$$

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$$\begin{aligned} STORE(\mathbf{f}) \\ = \\ \backslash\mathbf{for} \ C \ o; \ \mathbf{fAtPre}(o) \ := \ o.\mathbf{f} \end{aligned}$$

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Not a formula, but

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Not a formula, but a **quantified update**

Storing Pre-State of All Assignable Fields

If selected contract *Contr* has **preconditions**

@ **assignable** **f_1**, ..., **f_n**;

then pre-state of *all* assignable fields can be stored by

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$$\begin{aligned} & STORE(Contr) \\ & \quad = \\ & \{ STORE(f_1) \parallel \dots \parallel STORE(f_n) \} \end{aligned}$$

Expressing Normal Termination

How to express in DL:

method call `m()` will **not** throw an exception

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Note difference:

- **JAVA assignments**
- **equation, i.e., formula** (in KeY output format)

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\<{ exc = null;
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  } catch (java.lang.Throwable e) {
    exc = e;
  }
}\> !exc = null & <typing of exc>
```

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PO for Normal Behavior Contract

PO for a **normal behavior** contract *Contr* for void method *m()*,
with chosen **assumed invariants** *inv_1*, ..., *inv_n*

==>

```
INV(inv_1)
& ...
& INV(inv_n)
& PRE(Contr)
-> STORE(Contr)
  \<{ exc = null;
    try {
      m()@p.C;
    } catch (java.lang.Throwable e) {
      exc = e;
    }
  }
  \> exc = null & POST(Contr)
```


PO for Normal Behavior Allowing Non-Termination

PO for a **normal behavior** contract *Contr* for method *m()*,
where *Contr* has clause **diverges true**;

==>

```
    INV(inv_1)
  & ...
  & INV(inv_n)
  & PRE(Contr)
-> STORE(Contr)
  \[ { exc = null;
      try {
        m()@p.C;
      } catch (java.lang.Throwable e) {
        exc = e;
      }
  } \] exc = null & POST(Contr)
```

PO for Normal Behavior of Non-Void Method

PO for a normal behavior contract *Contr* for **non-void** method *m()*,

==>

```
    INV(inv_1)
    & ...
    & INV(inv_n)
    & PRE(Contr)
-> STORE(Contr)
    \<{ exc = null;
        try {
            result = m()@p.C;
        } catch (java.lang.Throwable e) {
            exc = e;
        }
    }\> exc = null & POST(Contr)
```

PO for Normal Behavior of Non-Void Method

PO for a normal behavior contract *Contr* for **non-void** method *m()*,

==>

```
    INV(inv_1)
  & ...
  & INV(inv_n)
  & PRE(Contr)
-> STORE(Contr)
    \<{ exc = null;
      try {
        result = m()@p.C;
      } catch (java.lang.Throwable e) {
        exc = e;
      }
    }\> exc = null & POST(Contr)
```

recall: *POST(Contr)* translated **\result** to **result** (p.29)

PO for Preserving Invariants

assume method `m()` has contracts $Contr_1, \dots, Contr_j$

PO stating that:

Invariants inv_1, \dots, inv_n are preserved
in all cases covered by a contract.

==>

```
 $INV(inv_1) \ \& \ \dots \ \& \ INV(inv_n)$   
& (  $PRE(Contr_1) \ | \ \dots \ | \ PRE(Contr_1)$  )  
-> \[ { exc = null;  
    try {  
        m()@p.C;  
    } catch (java.lang.Throwable e) {  
        exc = e;  
    }  
}\]  $INV(inv_1) \ \& \ \dots \ \& \ INV(inv_n)$ 
```

Follow the quicktour with KeY and understand examples

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Literature for this Lecture

Essential

KeY Quicktour see course page