

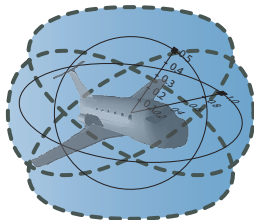
15-819/18-879: Hybrid Systems Analysis & Theorem Proving

05: Sequent Calculus

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1 Sequent Calculus

- Propositional Sequent Calculus
- First-Order Sequent Calculus
- Context-free Short Notation
- Soundness & Completeness



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- A “general” notation for proof calculi
- Introduced by Gerald Gentzen 1935 as a tool for studying natural deduction.
- Tableaux have a canonical sequent rendition.
- Branch transformations, paths, side conditions, repetitions have a cleaner formulation.

A normal form for formulas

Definition (Sequent)

A *sequent* is a pair of finite sets of formulas, with the notation

$$\Gamma \vdash \Delta$$

Γ is called antecedent and Δ succedent. Empty sets are allowed for Γ, Δ and denoted without set brackets.

Definition (Semantics of sequents)

$$val(s, \Gamma \vdash \Delta) = val(s, \bigwedge_{G \in \Gamma} G \rightarrow \bigvee_{F \in \Delta} F)$$

where empty conjunctions are *true* and empty disjunctions *false*



Sequent Calculus: Propositional Rules

$$\overline{\Gamma, \phi \wedge \psi \vdash \Delta}$$



Sequent Calculus: Propositional Rules

$$\frac{\Gamma, \phi, \psi \vdash \Delta}{\Gamma, \phi \wedge \psi \vdash \Delta}$$



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- Cuts can do arbitrary case distinctions.

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- Do cuts add deductive power to propositional tableaux?



$$\frac{}{\Gamma, \forall x F(x) \vdash \Delta}$$

$X \notin \Gamma, \Delta$ new variable



Sequent Calculus: First-Order Rules

$$\frac{\Gamma, \forall x F(x), F(X) \vdash \Delta}{\Gamma, \forall x F(x) \vdash \Delta}$$

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$FV(\exists x F(x)) = \{X_1, \dots, X_n\}$, f new



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Sequent Calculus: “Context-free” Notation

$$(\wedge \text{ left}) \frac{\phi, \psi \vdash}{\phi \wedge \psi \vdash}$$

$$(\vee \text{ left}) \frac{\phi \vdash \quad \psi \vdash}{\phi \vee \psi \vdash}$$

$$(\neg \text{ left}) \frac{\vdash \phi}{\neg \phi \vdash}$$

$$(\wedge \text{ right}) \frac{\vdash \phi \quad \vdash \psi}{\vdash \phi \wedge \psi}$$

$$(\vee \text{ right}) \frac{\vdash \phi, \psi}{\vdash \phi \vee \psi}$$

$$(\neg \text{ right}) \frac{\phi \vdash}{\vdash \neg \phi}$$

$$(\rightarrow \text{ right}) \frac{\phi \vdash \psi}{\vdash \phi \rightarrow \psi}$$

$$(\text{axiom}) \frac{}{\phi \vdash \phi}$$

$$(\rightarrow \text{ left}) \frac{\vdash \phi \quad \psi \vdash}{\phi \rightarrow \psi \vdash}$$

$$(\text{cut}) \frac{\phi \vdash \quad \vdash \phi}{\vdash}$$

Definition (Provability, ground case)

- Formula ψ is provable from a set of formulas Φ , denoted as

$$\Phi \vdash_{\text{seq}} \psi$$

iff there is a finite subset $\Phi_0 \subseteq \Phi$ for which the sequent $\Phi_0 \vdash \psi$ is derivable.

- Sequent $\Gamma \vdash \Delta$ is *derivable* iff, inductively, there is an instance

$$\frac{\Gamma_1 \vdash \Delta_1 \quad \dots \quad \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta}$$

of a sequent calculus rule with conclusion $\Gamma \vdash \Delta$ such that all premisses $\Gamma_i \vdash \Delta_i$ of the rule are derivable.

Definition (Provability, free variable case)

- Formula ψ is provable from a set of formulas Φ , denoted as

$$\Phi \vdash_{\text{seq}} \psi$$

iff there is a finite subset $\Phi_0 \subseteq \Phi$ such that there is a **closed** derivation of the sequent $\Phi_0 \vdash \psi$.

- A *derivation* is a tree of nodes labeled with sequents such that: The labels of the successors of a node labelled $\Gamma \vdash \Delta$ are exactly the premisses $\Gamma_i \vdash \Delta_i$ of an instance of a sequent calculus rule

$$\frac{\Gamma_1 \vdash \Delta_1 \quad \dots \quad \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta}$$

- A derivation is **closed** if there is a substitution σ such that for each label $\Gamma \vdash \Delta$ of all its leaves, $\sigma(\Gamma \vdash \Delta)$ is an instance of an axiom.

$$\vdash \exists y \forall x \text{ctrl}(x, y) \rightarrow \forall x \exists y \text{ctrl}(x, y)$$

$$\frac{\exists y \forall x \text{ctrl}(x, y) \vdash \forall x \exists y \text{ctrl}(x, y)}{\vdash \exists y \forall x \text{ctrl}(x, y) \rightarrow \forall x \exists y \text{ctrl}(x, y)}$$

$$\frac{\frac{\frac{\exists y \forall x \text{ctrl}(x, y) \vdash \exists y \text{ctrl}(s, y)}{\exists y \forall x \text{ctrl}(x, y) \vdash \forall x \exists y \text{ctrl}(x, y)}}{\vdash \exists y \forall x \text{ctrl}(x, y) \rightarrow \forall x \exists y \text{ctrl}(x, y)}}$$

$$\begin{array}{c}
 \hline \\
 \hline \\
 \hline \\
 \hline
 \end{array}
 \frac{
 \begin{array}{c}
 \exists y \forall x \text{ctrl}(x, y) \vdash \exists y \text{ctrl}(s, y), \text{ctrl}(s, Y) \\
 \exists y \forall x \text{ctrl}(x, y) \vdash \exists y \text{ctrl}(s, y) \\
 \exists y \forall x \text{ctrl}(x, y) \vdash \forall x \exists y \text{ctrl}(x, y)
 \end{array}
 }{
 \vdash \exists y \forall x \text{ctrl}(x, y) \rightarrow \forall x \exists y \text{ctrl}(x, y)
 }$$

$$\begin{array}{c}
 \hline \\
 \hline \\
 \hline \\
 \frac{\forall x \text{ctrl}(x, t) \vdash \exists y \text{ctrl}(s, y), \text{ctrl}(s, Y)}{\exists y \forall x \text{ctrl}(x, y) \vdash \exists y \text{ctrl}(s, y), \text{ctrl}(s, Y)} \\
 \hline \\
 \frac{\exists y \forall x \text{ctrl}(x, y) \vdash \exists y \text{ctrl}(s, y)}{\exists y \forall x \text{ctrl}(x, y) \vdash \forall x \exists y \text{ctrl}(x, y)} \\
 \hline \\
 \vdash \exists y \forall x \text{ctrl}(x, y) \rightarrow \forall x \exists y \text{ctrl}(x, y)
 \end{array}$$

$$\begin{array}{c}
 \hline
 \forall x \text{ctrl}(x, t), \text{ctrl}(X, t) \vdash \exists y \text{ctrl}(s, y), \text{ctrl}(s, Y) \\
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$$\begin{array}{c}
 * \{s/X\} \{t/Y\} \\
 \hline
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 \end{array}$$



Theorem (Soundness)

All provable (closed) FOL formulas F are valid:

$$\vdash_{seq} F \Rightarrow \models F$$



Theorem (Soundness)

All provable (closed) FOL formulas F are valid:

$$\vdash_{seq} F \Rightarrow \models F$$

Theorem (Completeness)

All valid (closed) FOL formulas F are provable:

$$\models F \Rightarrow \vdash_{seq} F$$



Theorem (Soundness)

All provable (closed) FOL formulas F are valid:

$$\vdash_{seq} F \Rightarrow \models F$$

Theorem (Completeness)

All valid (closed) FOL formulas F are provable:

$$\models F \Rightarrow \vdash_{seq} F$$

Theorem (Strong Soundness & Completeness)

For (closed) FOL formula F and set of (closed) FOL formulas Γ :

$$\Gamma \vdash_{seq} F \iff \Gamma \models F$$



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Logic for Computer Science.

Longman Higher Education, 1986.



Gerald Gentzen.

Untersuchungen über das logische Schließen.

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