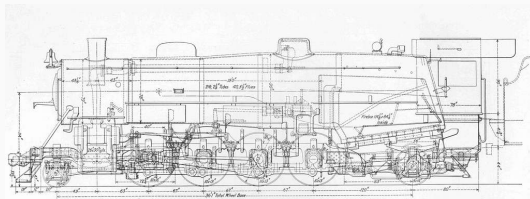


Uniform Substitution for Differential Refinement Logic

Enguerrand Prebet André Platzer

5th July 2024

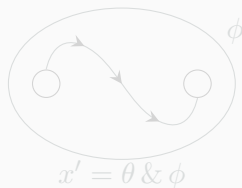
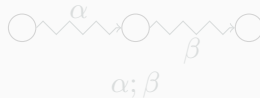
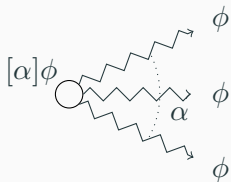
Karlsruhe Institute of Technology



$[\text{train}] \text{safe}(x) ?$

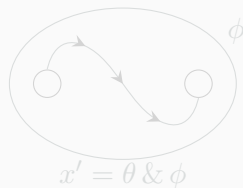
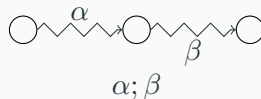
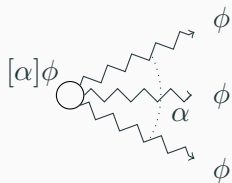
Relating the two systems... → refinement [?]
...in a trusted way... → uniform substitution
...and automatically. → decision procedure

Proving safety for CPS



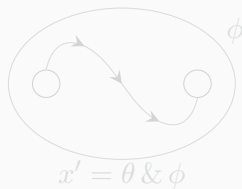
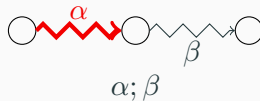
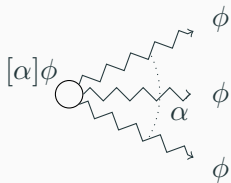
$$[(((\text{?safe}(x, m); a := A) \cup a := -B); t := 0; x' = v, v' = a, t' = 1 \& t \leq 1)^*]x < m$$

Proving safety for CPS



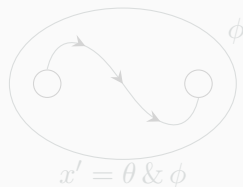
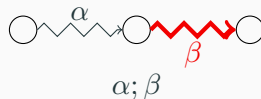
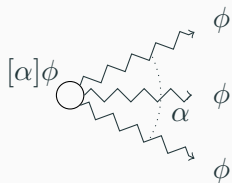
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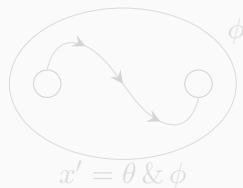
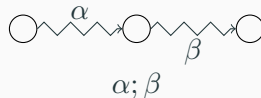
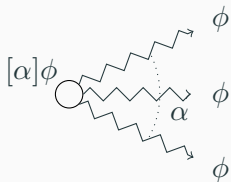
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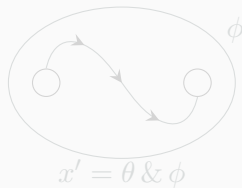
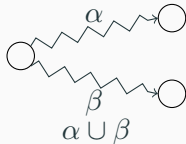
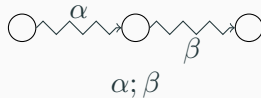
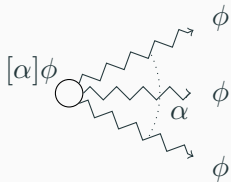
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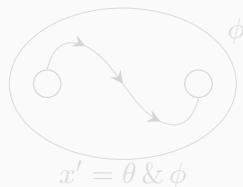
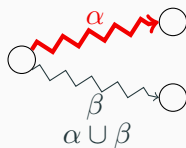
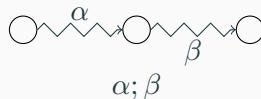
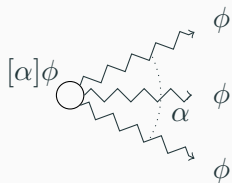
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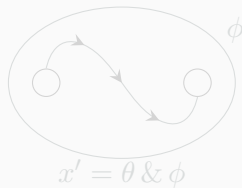
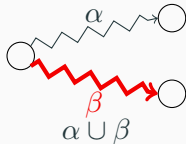
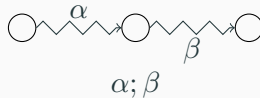
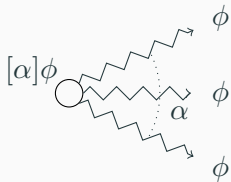
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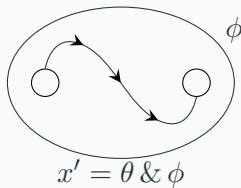
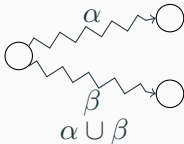
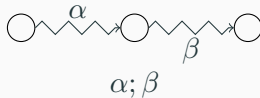
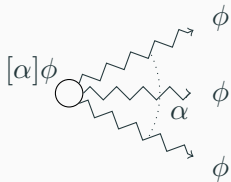
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Differential Refinement Logic (dRL)

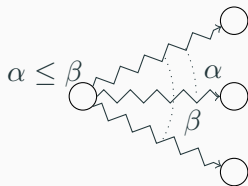
$$\text{FOL} \subseteq \text{dL} \subseteq \text{dRL}$$

Term: $\theta, \eta ::= x \mid \theta + \eta \mid \theta \cdot \eta \mid (\theta)'$

Formula: $\phi, \psi ::= \theta \leq \eta \mid \neg \phi \mid \phi \wedge \psi \mid \forall x \phi \mid [\alpha] \phi \mid \alpha \leq \beta$

Program: $\alpha, \beta ::= ?\psi \mid x := \theta \mid x := * \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^* \mid x' = \theta \ \& \ \psi$

Using refinement



Abstraction/rewriting tool:

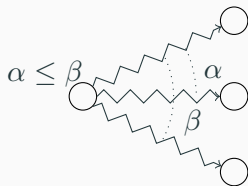
$$\alpha \leq \beta \rightarrow ([\beta]\phi \rightarrow [\alpha]\phi)$$

$$(x := 3; x := x + 2) = x := 5$$

State dependent:

$$[x := y + 1]((?x \leq y; \alpha \cup ?x > y; \beta) = \beta)$$

Using refinement



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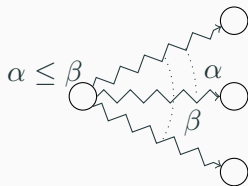
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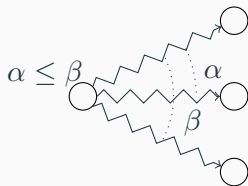
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$$[x := y + 1]((\text{if } x \leq y \text{ then } \alpha \text{ else } \beta) = \beta)$$

dRL Calculus and Uniform Substitution

(Some) Axioms of dRL

dL axioms:

$$([\cup]) \quad [a \cup b]p(\bar{x}) \leftrightarrow [a]p(\bar{x}) \wedge [b]p(\bar{x})$$

$$([\cdot]) \quad [a; b]p(\bar{x}) \leftrightarrow [a][b]p(\bar{x})$$

$$(G) \quad \frac{p(\bar{x})}{[a]p(\bar{x})}$$

dRL-specific axioms:

$$([\leq]) \quad a \leq b \rightarrow ([a]p(\bar{x}) \leftarrow [b]p(\bar{x}))$$

$$(\cdot) \quad a; b \leq c; d \leftarrow a \leq c \wedge [a]b \leq d$$

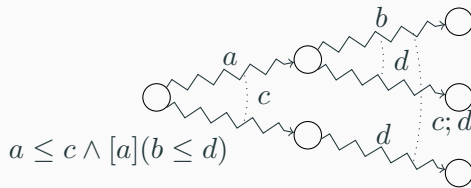
$$(\leq_t) \quad a \leq b \leftarrow (a \leq c \wedge c \leq b)$$

$$(\text{unloop}) \quad a^* \leq b^* \leftarrow [a^*](a \leq b)$$

$$(ODE) \quad x' = f(x) \ \& \ p(x) \leq x' = g(x) \ \& \ q(x) \leftrightarrow [x' = f(x) \ \& \ p(x)](x' = g(x) \wedge q(x))$$

KAT axioms,...

$$(\text{;}) \quad a; b \leq c; d \leftarrow a \leq c \wedge [a]b \leq d$$

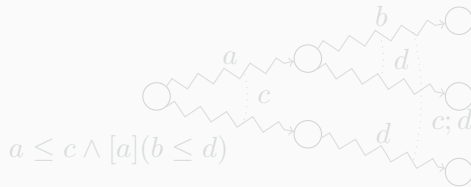


$$(\text{unloop}) \quad a^* \leq b^* \leftarrow [a^*](a \leq b)$$

$$[a^*](a \leq b) \rightarrow \underbrace{(a \leq b \wedge [a]a \leq b \wedge [a;a]a \leq b \wedge \dots)}_{a; a \leq b; b}$$

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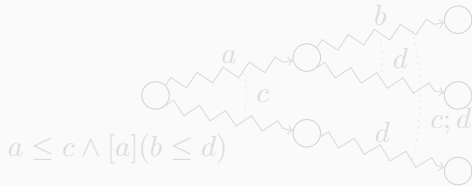


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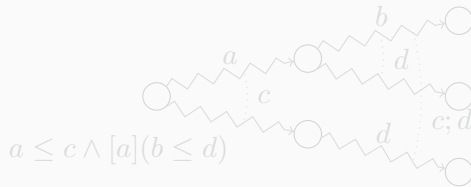


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Uniform Substitution

Axioms are formulas, instantiations are recovered by uniform substitution.

$$(\text{US}) \quad \frac{\phi}{\sigma(\phi)} \text{ if } \sigma(\phi) \text{ defined}$$

Small microkernel: 2k lines of code for KeYmaera X + 300 for dRL (70% of axioms)¹

Example

For $\sigma = \{p \mapsto \phi, a \mapsto \alpha\}$,

$$\text{US} \frac{\text{V} \overline{p \rightarrow [a]p}}{\phi \rightarrow [\alpha]\phi} \quad \text{if } \sigma(p \rightarrow [a]p) \text{ is defined}$$

¹<https://github.com/LS-Lab/KeYmaeraX-release/tree/dRL>

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Soundness of Uniform Substitution

“Do not introduce new **free** variables in a context where they are **bound**.”

Static semantics is subtle.

- $\forall x \ x = 2 \ ? \ x \text{ is bound}$ ✓
- $[x := 1 \cup y := 0]y \geq 0 \ ?$ equivalent to $[x := 1]y \geq 0 \wedge [y := 0]y \geq 0$. y is both?
- $FV(\alpha \leq \beta) = FV(\alpha) \cup FV(\beta) \cup ((BV(\alpha) \cup BV(\beta)) \setminus (MBV(\alpha) \cap MBV(\beta)))$

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Subset of interest

Theorem

$(ctrl_1; plant_1)^* \leq (ctrl_2; plant_2)^*$ is decidable.

Example of a decidable property

$$\begin{aligned} & (((?safe(x, m); a := A) \cup a := -B); t := 0; x' = v, v' = a, t' = 1 \ \& \ t \leq 1)^* \\ & \quad \vee \\ & (((?safer(x, m); a := A) \cup a := -B); t := 0; x' = v, v' = a, t' = 1 \ \& \ t \leq 1)^* \end{aligned}$$

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$\vee$

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Proof outline

Handling discrete programs: $ctrl_1 \leq ctrl_2$

Normalise $ctrl_i$ to $x := *; ?\phi_i(x, x^+)$, then $ctrl_1 \leq ctrl_2$ iff $\phi_1(x, x^+) \rightarrow \phi_2(x, x^+)$

Handling continuous programs: $[ctrl_1](\underbrace{\bar{y}' = P(\bar{y}, \bar{u}) \ \& \ \phi}_{plant_1} \leq \underbrace{\bar{y}' = Q(\bar{y}, \bar{u}) \ \& \ \psi}_{plant_2})$

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Remaining goal: $[ctrl_1][plant_1](P(\bar{y}, \bar{u}) = Q(\bar{y}, \bar{u}) \wedge \psi)$

Decidable when:

- $\bar{y}' = P(\bar{y}, \bar{u})$ solvable
- or ψ of the form $\bigwedge_i \bigvee_j Q_{ij}(x) = 0$ [?]

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Normalise $ctrl_i$ to $x := *; ?\phi_i(x, x^+)$, then $ctrl_1 \leq ctrl_2$ iff $\phi_1(x, x^+) \rightarrow \phi_2(x, x^+)$

Handling continuous programs: $[ctrl_1] \underbrace{(\bar{y}' = P(\bar{y}, \bar{u}) \ \& \ \phi)}_{plant_1} \leq \underbrace{\bar{y}' = Q(\bar{y}, \bar{u}) \ \& \ \psi}_{plant_2}$

(ODE) $x' = f(x) \ \& \ p(x) \leq x' = g(x) \ \& \ q(x) \leftrightarrow [x' = f(x) \ \& \ p(x)](x' = g(x) \wedge q(x))$

Remaining goal: $[ctrl_1][plant_1](P(\bar{y}, \bar{u}) = Q(\bar{y}, \bar{u}) \wedge \psi)$

Decidable when:

- $\bar{y}' = P(\bar{y}, \bar{u})$ solvable
- or ψ of the form $\bigwedge_i \bigvee_j Q_{ij}(x) = 0$ [?]

- Hybrid system refinement
→ enables proof to use abstraction/rewriting for CPS
- Uniform substitution-style calculus
→ easily implemented in KeYmaera X
- Decidability result on $(ctrl; plant)^*$ (yet to be implemented)
→ a first step toward completeness

References

Why implications?

$$(\cup_r) \quad a \leq b \cup c \leftarrow a \leq b \vee a \leq c$$

With $? \phi; a := A \cup ? \neg \phi; a := -B \checkmark$

But not $x := *; ? \phi \cup x := *; ? \psi$

$$(;) \quad a; b \leq c; d \leftarrow a \leq c \wedge [a]b \leq d$$

$$(a; b); c \leq a; (b; c) \not\rightarrow a; b \leq a \wedge [a; b]c \leq b; c.$$